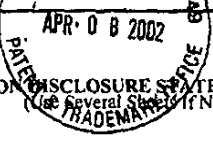
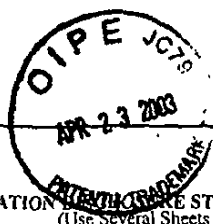


FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: FIVER-00104		Serial No.: 10/015,736	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Separate Pages If Necessary)				Applicant: Weili Wu et al.			
(37 CFR § 1.98(b))				Filing Date: December 12, 2001		Group Art Unit: 3662 1732	
U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
MDU	AA	6,049,777	04/11/00	Sheena et al.	705	10	03/14/97
	AB	5,982,799	11/09/99	Bour et al.	372	50	03/17/97
	AC	5,930,436	07/27/99	Okamura et al.	385	127	06/03/97
	AD	5,892,615	04/06/99	Grubb et al.	359	341	03/17/97
	AE	5,857,053	01/05/99	Kane	385	127	06/17/97
	AF	5,786,915	07/28/98	Scobey	359	127	10/22/96
	AG	5,717,799	02/10/98	Robinson	385	37	10/10/96
	AH	5,696,615	12/09/97	Alexander	359	134	11/13/95
	AI	5,689,595	11/18/97	Pan	385	27	01/17/96
	AJ	5,627,934	05/06/97	Muhs	385	127	08/03/94
	AK	5,583,689	12/10/96	Cassidy et al.	359	341	06/1/93
	AL	5,568,310	10/22/96	Naito	359	341	05/04/95
	AM	5,530,710	06/25/96	Grubb	372	6	05/15/95
	AN	5,513,033	04/30/96	Bricheno et al.	359	341	05/20/93
	AO	5,499,132	03/12/96	Tojo et al.	359	281	05/12/93
	AP	5,473,714	12/05/95	Vengsarkar	385	43	04/29/94
	AQ	5,473,713	12/05/95	Ronarc'H et al.	385	28	07/25/94
	AR	5,467,218	11/14/95	Takeda et al.	359	341	07/29/94
	AS	5,430,572	07/04/95	DiGiovanni et al.	359	341	09/30/93
	AT	5,415,730	05/16/95	Canning et al.	216	17	12/14/93
	AU	5,363,385	11/08/94	Heidemann	372	6	02/19/93
	AV	5,333,232	07/26/94	Yanagawa et al.	385	127	03/16/93
	AW	5,321,790	06/14/94	Takahashi et al.	385	140	04/02/92
	AX	5,311,606	05/10/94	Asakura et al.	385	33	02/05/93
	AY	5,283,686	02/01/94	Huber	359	337	07/27/92
	AZ	5,260,823	11/09/93	Payne et al.	359	341	01/28/93
	BA	5,210,808	05/11/93	Grasso et al.	385	27	05/14/91
	BB	5,179,603	01/12/93	Hall et al.	385	24	03/18/91
	BC	5,170,447	12/08/92	Heidemann	385	24	03/25/91
	BD	5,115,338	05/19/92	DiGiovanni et al.	359	337	04/12/91
	BE	5,067,789	11/26/91	Hall et al.	385	27	02/14/91
	BF	5,054,874	10/08/91	Hill et al.	385	28	12/17/90
	BG	4,931,076	06/05/90	Berkey	65	4.2	06/09/88
MDU	BH	4,758,064	07/19/88	Neefe	350	96.23	08/15/86
Examiner: M. Vancet				Date Considered: 12/14/03			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: FIVER-00104		Serial No.: 10/015,736	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary) (37 CFR § 1.98(b))						Applicant: Weiti Wu et al.		Filing Date: December 12, 2001	
						Group Art Unit: <del>2662</del> 1732			
U.S. PATENT DOCUMENTS									
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date		
	BI								
	BJ								
	BK								
	BL								
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS									
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation		
							Yes	No	
MOV	BM	JP 61-279805	12/10/86	JP	G02B	6/10		X	
MOV	BN	JP 11-326668	11/26/99	JP	G02B	6/16		X	
MOV	BO	JP 11-183736	07/09/99	JP	G02B	6/00		X	
	BP								
	BQ								
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)									
MOV	BR	Chen, L.R. et al., "Transmission Filters with Multiple Flattened Passbands Based on Chirped Moire Gratings," IEEE Photonics Technology Letters, Vol. 10, No. 9, September 1998, pp 1283-1285.							
	BS	Ortega, B. et al., "Accurate tuning of mismatched twin-core fiber filters," Optics Letters, Vol. 23, No. 16, Aug. 15, 1998, pp 1277-1279.							
	BT	Ortega, B. et al., "Characteristics of Mismatched Twin-Core Fiber Spectral Filters," IEEE Photonics Technology Letters, Vol. 10, No. 7, Jul. 1998, pp 991-993.							
	BU	Agrawal, G.P., "Fiber-Optic Communication Systems," Rochester, New York, 1997, pp 414-415.							
	BV	Haus, H.A., "Waves and Fields in Optoelectronics," Prentice-Hall, Inc., Englewood Cliffs, NJ, 1984, pp 197-234.							
	BW	Kawakami, Shojiro et al., "Characteristics of Cutoff Modes in a Symmetrical Five Layer Slab Optical Waveguide with Low-Index Intermediate Layers," Research Institute of Electrical Communication in Japan, Vol. 57-C, No. 9, 1974, pp. 121-128.							
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	BY								
	BZ								
	CA								
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Examiner: <i>M. Vargat</i>					Date Considered: 12/14/03				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

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INFORMATION STATEMENT BY APPLICANT (Use Several Sheets If Necessary)						Applicant: Weiti Wu <i>et al.</i>			
(37 CFR § 1.98(b))						Filing Date: December 12, 2001		Group Art Unit: <del>3662</del> 1732	
U.S. PATENT DOCUMENTS									
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date			
MOV	AA	6,038,359	03/14/00	Moslehi	385	42	06/04/98		
MOV	AB	6,118,575	09/12/00	Grubb <i>et al.</i>	359	337	04/05/99		
MOV	AC	6,188,812 B1	02/13/01	Kao <i>et al.</i>	385	12	01/28/99		
	AD								
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Examiner: <u>M. Vagstad</u>				Date Considered: <u>12/14/03</u>					
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									